



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: YIHUA CHANG ET AL.

Appln. No.: 09/815,251

Filed: March 22, 2001

For: WATER-DISPERSIBLE,
CATIONIC POLYMERS, A
METHOD OF MAKING SAME
AND ITEMS USING SAME

Examiner: Tamra Dicus

Art Unit: 1774

Attorney Docket No: 659/1610

SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c), Applicants hereby cite the following reference(s):

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
2,982,682	5/2/1961	Matlin et al.
3,385,839	5/28/1968	Honig et al.
3,480,463	11/25/1969	Rankin
4,151,148	4/24/1979	Chasin et al.
5,451,432	9/19/1995	Lofton
5,478,631	12/26/1995	Kawano et al.
5,518,585	5/21/1996	Huth et al.
5,597,675	1/28/1997	Arudi et al.
5,645,968	7/8/1997	Sacripante et al.
5,921,912	7/13/1999	Hart et al.
6,007,803	12/28/1999	Mandeville, III et al.
6,127,593	10/3/2000	Bjorkquist et al.
6,139,966	10/31/2000	Carlson et al.
6,183,844	2/6/2001	Li
6,251,849	6/26/2001	Jeschke et al.
6,265,049	7/24/2001	Swisher et al.
6,290,947	9/18/2001	Fitzpatrick et al.
6,358,365	3/19/2002	Zhang et al.
6,379,501	4/30/2002	Zhang et al.
6,413,621	7/2/2002	Mayes et al.
6,423,804	7/23/2002	Chang et al.
6,429,261	8/6/2002	Lang et al.
6,537,663	3/25/2003	Chang et al.
6,548,592	4/15/2003	Lang et al.

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
6,579,570	6/17/2003	Lang et al.
5,586,529	7/1/2003	Munick et al.
6,599,848	7/29/2003	Chen et al.
6,602,955	8/5/2003	Soerens et al.
6,630,558	10/7/2003	Chang et al.
6,653,406	11/25/2003	Soerens et al.
6,683,143	1/27/2004	Munick et al.
6,713,414	3/30/2004	Pomplun et al.
6,815,502	11/9/2004	Lange et al.
6,828,014	12/7/2004	Branham et al.
6,897,168	5/24/2005	Branham et al.
6,908,966	6/21/2005	Chang et al.
2003/0027470 A1	2/6/2003	Chang et al.
2003/0032352 A1	2/13/2003	Chang et al.
2004/0030080 A1	2/12/2004	Chang et al.

FOREIGN DOCUMENTS

DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY
JP 74043114	11/19/1974	Japan

Applicants are enclosing Form PTO-1449 (two sheets), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

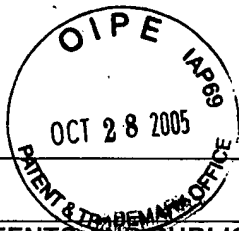
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated a processing fee in the amount of \$180.00 to be due under 37 C.F.R. §1.17(p) in connection with the filing of this Statement. Applicants have enclosed a check covering this fee, or authorized charging the fee to a deposit account or credit card, as indicated in the Transmittal accompanying this Statement.

Respectfully submitted,

10/25/05
Date


Glen P. Belvis (Reg. No. 31,735)



FORM PTO-1449	SERIAL NO. 09/815,251	CASE NO. 659/1610
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 22, 2001	GROUP ART UNIT 1774
(use several sheets if necessary)	APPLICANT(S): Yihua Chang et. al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	G1	2,982,682	5/2/1961	Matlin et al.		
	G2	3,385,839	5/28/1968	Honig et al.		
	G3	3,480,463	11/25/1969	Rankin		
	G4	4,151,148	4/24/1979	Chasin et al.		
	G5	5,451,432	9/19/1995	Lofton		
	G6	5,478,631	12/26/1995	Kawano et al.		
	G7	5,518,585	5/21/1996	Huth et al.		
	G8	5,597,675	1/28/1997	Arudi et al.		
	G9	5,645,968	7/8/1997	Sacripante et al.		
	G10	5,921,912	7/13/1999	Hart et al.		
	G11	6,007,803	12/28/1999	Mandeville, III et al.		
	G12	6,127,593	10/3/2000	Bjorkquist et al.		
	G13	6,139,966	10/31/2000	Carlson et al.		
	G14	6,183,844	2/6/2001	Li		
	G15	6,251,849	6/26/2001	Jeschke et al.		
	G16	6,265,049	7/24/2001	Swisher et al.		
	G17	6,290,947	9/18/2001	Fitzpatrick et al.		
	G18	6,358,365	3/19/2002	Zhang et al.		
	G19	6,379,501	4/30/2002	Zhang et al.		
	G20	6,413,621	7/2/2002	Mayes et al.		
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	G22	6,429,261	8/6/2002	Lang et al.		
	G23	6,537,663	3/25/2003	Chang et al.		
	G24	6,548,592	4/15/2003	Lang et al.		
	G25	6,579,570	6/17/2003	Lang et al.		
	G26	5,586,529	7/1/2003	Munick et al.		
	G27	6,599,848	7/29/2003	Chen et al.		
	G28	6,602,955	8/5/2003	Soerens et al.		
	G29	6,630,558	10/7/2003	Chang et al.		
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	G33	6,815,502	11/9/2004	Lange et al.		
	G34	6,828,014	12/7/2004	Branham et al.		
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	G36	6,908,966	6/21/2005	Chang et al.		
	G37	2003/0027470 A1	2/6/2003	Chang et al.		
	G38	2003/0032352 A1	2/13/2003	Chang et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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	G39 2004/0030080 A1	2/12/2004	Chang et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	G40 JP 74043114	11/19/1974	Japan		Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>				

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